



Client Name / Business name	Forestry SA
Date(s) of review:	Thursday 26 th and Friday 27 th April 2018 onsite, then off-site review of additional information 11 th May, 10 th and 29 th June .
Type of review	Post certification surveillance: second review in this certificate cycle.
Site visited (include address)	745 Warren Road, Mt Crawford 5351 SA; forest field sites as listed. Kuitpo Forest offices, 11 Harvey Road, Meadows, 5201 SA
Certification standard	AS 4708:2013
Scope of activities within the scope of certification (short description)	Management of native forest and plantations under the client's control.
Scope of the audit / review	Some requirements in criterion 1, then all in criteria 2, 3 and 6 of the standard within the scope of certification and as applicable in the DFA and at the Mt Crawford and Kuitpo offices, and depots.
Names of review team members:	Jonathan Tibbits and Wayne Tibbits
Name of Global-Mark Client Manager, and signatory to this report:	Dr. Wayne Tibbits
Brief description of the FMU / DFA	Pine plantations in Adelaide Hills and nearby areas. Native vegetation in Adelaide and Green Triangle areas.
Guidance as to how to obtain further details of the DFA may be accessed	See ForestrySA website www.forestrysa.com.au and links for "Plantation Forestry/forest certification" and "Conservation/Native Forest Reserves".
The area of the DFA as advised by Responsible Wood (including updates)	20,540 hectares in Mount Lofty Ranges Region of South Australia. Total area was unclear and this is subject to audit finding № 9.
Any applicable qualifications for the scale and intensity as referred to in AS4708	Nil.
Exclusions to the standard/management system	Nil.
Name of Management Representative	Chris Gibson

Review team declaration:

We confirm that for the purpose of this review:

- We did not have any conflict of interest with and is fully independent from the company listed above
- We had the review team has sufficient resources, and competences to complete its review and reach its conclusions,
- We had the appropriate credentials to perform this review in accordance with Global-Mark and applicable accreditation requirements.

Comment and disclaimer on this report:

- This report does not and should not be seen as advice. Please consult a qualified advisor or consultant for advice.
- Due to the sampling nature of third party business reviews, the time available and samples size, some issues, non-compliances or improvements might not have been identified in the present report. This does not imply that these issues do not exist, or are in compliance. Employees, management and other stakeholders of the organization need to and are responsible for, continuously identifying and taking necessary controls to ensure continued compliance with the standard(s), and improvement.
- Readers of this report should make judgement taking the above into account.
- The report is confidential, and is owned by the organization listed above, Global-Mark Pty Ltd and the review team members who participated in its preparation. The summary of it is publicly available through Global-Mark.
- Global-Mark reserves the right to make this report available to regulators, and/or funding providers if requested.

Attendance to opening and exit meeting (record below or refer to scanned attendance sheet with position titles)

Name (s)	Opening meeting	Closing meeting
Chris Gibson	<input checked="" type="checkbox"/> Yes : <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes : <input type="checkbox"/> No
Andrew Moylan	<input checked="" type="checkbox"/> Yes : <input type="checkbox"/> No	<input type="checkbox"/> Yes : <input checked="" type="checkbox"/> No
Jonathan Tibbits, Wayne Tibbits	<input checked="" type="checkbox"/> Yes : <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes : <input type="checkbox"/> No
Julian Speed	<input type="checkbox"/> Yes : <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes : <input type="checkbox"/> No

1 Review team conclusions

A Forest Management System [FMS] is implemented and operated by ForestrySA [FSA, also known as client, organisation, or forest manager] that continues to meet the requirements for certification against the AS 4708 standard for sustainable forest management under the Responsible Wood Certification Scheme, for the activities/products and sites listed on the Global-Mark scope of certification.

This two-day two-person surveillance review focused on the management aspects of the FMS, including document control, internal audits, corrective and preventive action, and management review processes. Its field assessments centered on criteria covering biodiversity matters as well as soils and water management. Observations relating to other aspects of the Responsible Wood standard were included where thought useful. Apart from evidence gathering at Mt Crawford, inspections included the required minimum number of active operations across the estate, and the audit team visited the depot and office at Kuitpo. The audit team leader chose the sites, with 25% selected randomly. The audit team consulted with a small number of stakeholder parties. All reflected that FSA were good to deal with and that their experiences were not affected by forest operations. Some had interests in biodiversity and their feedback indicated that they thought the level of engagement with FSA was meaningful and catered for their interests.

This is the second surveillance review since the 2016 issue of a new certificate, with one more surveillance review planned before re-certification prior to 4/10/2019. This audit was planned to sample autumn activities within the DFA.

General points and recommendation:

Evidence is that the organisation provides resources appropriate to the nature, scale and impact across the DFA for its activities. It is concluded that the FMS and its associated field operations are effective in meeting the requirements of the Responsible Wood criteria of Systematic Management, Operational Control, Bio-diversity and Water/Soil management as listed under AS4708: 2013. The team employed by ForestrySA appears to possess the qualifications and experience to achieve its forest management objectives and targets.

The company has addressed the three minor nonconformance issues and 11 observations from the previous Global-Mark audit. Whilst three new minor nonconformance issues were identified with one remaining open, this does not reflect a fundamental failure to achieve AS4708 outcomes.

This audit raised six observations, of which five are mainly for noting for Global-Mark's future audits. While not classed as non-conformances, the company should look soon at resources for public disclosures and monitoring contractors' effective resources.

Finally, the company is to be congratulated for their attention and investment in maintaining or improving forest recreation facilities and community support.

Table 1 - List of sites visited. Randomly selected shown in this highlight.

Auditor	Site identity	FMU	Forest features or comments	Operations active or past
JT Mt Crawford Forest 26 th April	Bennett's	Pine	Wind damage.	Trees straightening. Survival count.
	Mt Crawford Native Forest Reserve	Native	Biodiversity protection.	Wild pine removal.
	Cromer/ Dewells	Pine	Re-establishment.	Site cultivation.
	Mt Crawford Springs Road	Pine	Harvest Co.	Second thinning.
		Pine	Compartments with Operation Plan & Event № 050099.	Inspected edge rows that had been machine pruned.
JT 27 th April	Coleman's	Pine	Harvest Co.	Clearfall.
	Camp Paddock	Pine	Harvest Co.	Second thinning.
	Hatchland's	Pine	Harvest Co.	Delayed first thinning.
	Mt Bold	Pine	Harvest Co.	Clearfall.
WT 27 th April	Kuitpo	Native	Native forest reserve area.	Prescribed burn.
	Chookarloo	Mixed	New toilet facilities.	Recreational camping.
	Knott Hill	Ex-Pine	Rare orchids.	Protected area.
	Meadows Flat	Ex-Pine	Conservation zone.	Protected area.
	Bone Gully	Native	Rare bandicoot sighted.	Protected area.

2 Review teams findings (major, minor non-conformities) or observations

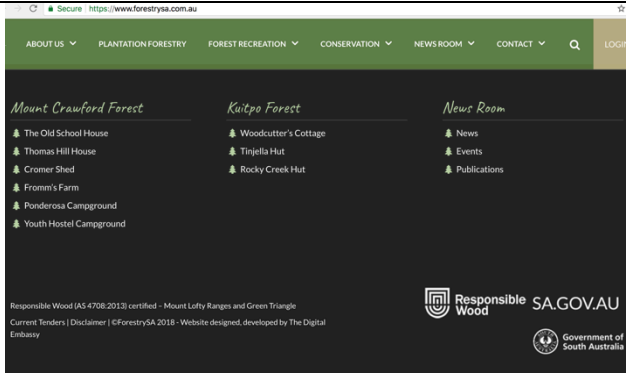
At the conclusion of this review the following presents the status of new and existing findings:

Number of findings: [Totals are an field that the auditor can update by right mouse click.]

Finding Details	# Major non-conformities	# Minor non-conformities	# Observations	Total
Findings from previous audit(s) at start [№ 5, 9, 11-15, 18-19, 21-23, 25-26]	0	3	11	14
Findings from above closed during this audit [№ 5, 9, 11-15, 18-19, 21-23, 25-26]	0	3	11	14
New findings opened during this audit [№ 27-35]	0	3	6	9
New findings closed during this audit [№ 27-28]	0	2	0	2
Findings open at the end of this audit [№ 29-35]	0	1	6	7

Mandatory reporting

Matter reported	Comments
Effectiveness of the management system (including internal audits and corrective action tool) - Comment based on audit observations and previous audit findings / history.	Systems exist and focus on being effective.
Description of the DFA register used that has details of all separately described blocks or forest management units.	DFA is essentially registered in GIS. Information is summarised in Forest Management Plans. For instance: “ForestrySA manages just under 12,700 hectares of land in the Green Triangle, nearly all of which is contained within 51 Native Forest Reserves.” “ForestrySA manages approximately 15,200ha of declared Forest Reserve, 4,200ha of declared Native Forest Reserve and 1,100ha of SA Water Land under the Forestry Act 1950 for the South Australian Government in the Mount Lofty Ranges Region of South Australia.”
Description of the system that can track additions and/or deletions to the DFA between audits and that can provide areas and maps of any changes.	ForestrySA staff work with a contractor, Flying Ant, to manage and filter data in GIS to arrive at DFA figures.
Have there been any significant changes to the DFA and if so when did they advise Global-Mark?	There have been no significant changes.
How does and when did the client provide Responsible Wood with an annual statement confirming the current DFA?	Sighted an email 26/04/18 from Harvesting Supervisor confirming that under new arrangements with Responsible Wood an “email will be sent to Responsible Wood declaring our current DFA” and “notifying RW will be added to our Worksite Action Plan as an annual requirement”.
Has there been any breach of regulatory requirements within the scope of certification to AS 4708, and when and how did the client advise Global-Mark?	None reported.
Uses of the AFS Logos? Photo - Screen shot relating to finding № 29.	The 2016-2017 annual report has the AFS logo on cover and first pages. It includes logo licence registration number AFS/01-21-04. This website linked document is dated 31/01/18. This has occurred during the transition period covering the change to Responsible Wood and when use of AFS logos must cease. However, the

Matter reported	Comments
	<p>document relates to activities under a time when AFS Ltd was active. So no audit observation is raised.</p> <p>No current new uses of AFS logo were seen.</p> <p>This new finding was raised as an observation: Finding № 35 - The organisation has not yet phased out of the AFS Logo and fully adopted the Responsible Wood Logo. This “observation” is to ensure that ForestrySA as a certified organisation is aware of their obligations to cease the use of the AFS Logo by the transition date of 31/12/2019. The following evidence was sighted: Framed policy for code of conduct and customer service charter at Kuitpo carried the AFS logo.</p>
<p>Application for usage of the Responsible Wood Logo and have on file a “Logo Use Contract” for the Responsible Wood Logo.</p>	<p>Application has been made for logo with licence registration number RW/1-21-04.</p>
<p>Uses of the Responsible Wood and/or PEFC Logos and claims by the relevant Logo User as a part of an RWCS forest management certification audit.</p> <ul style="list-style-type: none"> Global-Mark will report any unapproved non-conforming uses of the logo and trademark to Responsible Wood. Are all essential elements fulfilled as they must be: <ul style="list-style-type: none"> Reproduced according to the measurements, colours and other specifications detailed in The Responsible Wood Logo Reproduction Tool Kit? A logo licence registration number provided by Responsible Wood is included? Off-product use -Additional Voluntary Information? On-product use -Additional Voluntary Information? 	<p>The Responsible Wood [RW] logo is used on the FSA website, as off-product use [photo above]: https://www.forestrysa.com.au/</p> <p>There is no on-product use.</p> <p>This new finding was raised as a minor nonconformance: Finding № 29 - The forest manager has not used the Responsible Wood Logo with all the essential elements that must be fulfilled. The logo is used on the FSA website does not contain the logo licence registration number provided by Responsible Wood is not included.</p> <ul style="list-style-type: none"> Corrective action email received with identification that the web designer thought the registration number not necessary and removed by mistake. Effectiveness of corrective actions will be reassessed at the next audit, including that for logo use roles and responsibilities are defined.

Summary of the most important observations, positive, negative including considerations of compliance risks arising from activities of relevant stakeholders.

<p>Changes in organisation, management systems, operations, personnel.</p> <ul style="list-style-type: none"> Nothing major to report. The new management structure from July 2017 has continued. One role short in fire operations [Martin Winters left], which is advertised. 2017/18 fire season has appeared successful from feedback from stakeholders. Will co-locate HQ at Kuitpo, and update site. Dr. Jim O’Heier has left. Peter Ginn retired earlier in April 2018. Do not have volume to support economic viability export programme with having caught up on delayed first thinning, so it will cease on 30/06/18. They have instigated a request for tender to see how much the domestic market may take that would have been exported. Trying to get Prentice loader working and use as additional option to load trucks. If export remains strong, then forest manager may consider export options using containers.
<p>Complaints and breaches.</p> <ul style="list-style-type: none"> Operational based and managed at personal/situational level.
<p>Management systems (document control, records, internal audits, non-conformances, corrective action, training, inductions and competencies).</p> <ul style="list-style-type: none"> Started new JD Edwards’ system for reporting incidents, safety and statistics. Forest management plans are available on the client’s website, such as - https://www.forestrysa.com.au/app/uploads/2017/06/MLR-PLAN-FINAL-AS-AT-9-DECEMBER-2014.pdf Chris, Peter & Julian have been discussing and planning support for systems maintenance and management. Contractor EPS Pty Ltd was removing native tree hazards that posed a risk to Kuitpo depot. The audit team interviewed their employees and the supervising Ranger. The contractor had a SWMS.

- Notes prepared for 2/5/18 Board meeting on truck roll over 02/2018. Actions to include cameras with telemetries in trucks.
- Mark is doing audits of contractors. Audit report for system of DV Tong Land Prep Pty Ltd. This included on site at Martin's plantation, Second Valley. Summary showed inconsistent and out-of-date review of system. Sighted follow up email 19/04/18 to contractor. There are also harvesting and haulage contractor monthly audits, with 12/09/17 and spot-check 9/02/18 of truck at Mt Crawford sighted.

Comments to consider:

- The organisation may consider the various planning processes in instances where there is duplication between plans that otherwise have near identical information. May be an issue with Cengea.
- An opportunity may exist at the time of tree straightening to provide some health data, including which trees may not survive as a result of the wind event, or the straightening process.
- There is wild pine growing throughout/alongside the creek/drainage suppression on a site. This pine is too young and small to have been dealt with at harvest, and due to the boundaries in place to preserve the natural feature these pines were not removed during site prep activities and will not be in the path for the helicopter spray.

The public summary report ends here.